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5 Proposed Attorney for
6 ARADIGM CORPORATION
Debtor and Debtor-in-Possession

7
8 UNITED STATES BANKRUPTCY COURT

9 NORTHERN DISTRICT OF CALIFORNIA, OAKLAND DIVISION

10 In re

11 ARADIGM CORPORATION

CASE NO. 19-40363 WJL

Chapter 11

12 **LIST OF EQUITY SECURITY HOLDERS**

13 NO HEARING REQUIRED
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17 Attached is the Debtor's list of equity security holders pursuant to Rule of Bankruptcy
18 Procedure 1007(a)(3).

19 DATED: March 22, 2019

JEFFER MANGELS BUTLER & MITCHELL LLP
BENNETT G. YOUNG, ESQ.

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22 By: /s/ Bennett G. Young
BENNETT G. YOUNG
23 Proposed Attorney for ARADIGM CORPORATION
24 Debtor and Debtor-in-Possession
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Holder Identifier	Full Name	Address Line 1	Address Line 2	Address Line 3	Address Line 4	Address Line 5	Address Line 6	Address Line 7	Post Code	Domicile	C02 Class Total (Of)	C01 Class Total
C0000012351	21 APRIL FUND LP	MORGAN STANLEY CUSTODY/TRANSFER	F/A/O 038CDBZD6-21 APRIL FUND LP	ATTN: KYLE DE VOE	1300 THAMES STREET 6TH FLOOR	BALTIMORE MD			21231	USA	83333	0
C0000112551	21 APRIL FUND LP	FIRST EAGLE INVESTMENT MGMT LLC	TIMOTHY CONNOLLY	1345 AVENUE OF THE AMERICAS	48TH FLOOR	NEW YORK NY			10105	USA	73588	0
C0000012319	21 APRIL FUND LTD	MORGAN STANLEY CUSTODY/TRANSFER	F/A/O 038CDBZ4-21 APRIL FUND	1345 AVENUE OF THE AMERICAS	ATTN: KYLE DE VOE	1300 THAMES STREET 6TH FLOOR	BALTIMORE MD		21231	USA	333333	0
C0000112658	21 APRIL FUND LTD	FIRST EAGLE INVESTMENT MGMT LLC	TIMOTHY CONNOLLY	1345 AVENUE OF THE AMERICAS	48TH FLOOR	NEW YORK NY			10105	USA	304435	0
C0000009041	HORST R ADAM	718 EDGEWOOD RD	SAN MATEO CA						94402-1051	USA	1	0
C0000012335	MARV AYLWARD	420 WIDLAKE ST	WINNIPEG MB						R2C 1K3	CAN	2	0
C0000006131	LINDA BENSON	3263 VINEYARD AVE	SPC 3	PLEASANTON CA					94566-6330	USA	7	0
C0000001082	LAWRENCE A BOCCIA	711 BLACK OAK WAY	LODI CA						95242-4672	USA	5	0
C0000009211	WILLIAM J BRADY III	2400 HANOVER ST	PALO ALTO CA						94304-1113	USA	7	0
C0000112674	RONALD W CAMPBELL	4179 E EASTER AVE	CENTENNIAL CO						80122-2162	USA	14	0
C0000009270	BARBARA A CANNON	332 LYNNWOOD BLVD	NASHVILLE TN						37205-2927	USA	1	0
C0000008001	ARTHUR J CARDOSA	37171 SYCAMORE ST	APT 134	NEWARK CA					94560-3928	USA	4	0
D0000000019	CEDE & CO	PO BOX 20	BOWLING GREEN STN	NEW YORK NY					10274	USA	7776493	0
C0000001872	TOM COHN	4007 61ST STREET	BETHESDA MD						20816-2623	USA	11	0

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C0000012467	LACETA C COOPER	35 NE SUNSET DR	ELGIN OK						73538	USA	1	0
C0000000060	ASHLEY R CUSTODIO	321 FORESAIL CT	FOSTER CITY CA	FAO 04- HODKY DEF ASSOCIATES LP NIMK	522 FIFTH AVE				94404-3004	USA	6	0
C0000112500	DEF ASSOCIATES LP	C/O MORGAN STANLEY	PRIVATE WEALTH MANAGEMENT	1345 HODKY DEF ASSOCIATES LP NIMK	522 FIFTH AVE	11 FLOOR	ATTN: JULIANA FAJARDO	NEW YORK NY	10036	USA	26041	0
C0000112569	DEF ASSOCIATES LP	FIRST EAGLE INVESTMENT MGMT LLC	TIMOTHY CONNOLLY	1345 AVENUE OF THE AMERICAS	48TH FLOOR	NEW YORK NY			10105	USA	126008	0
C0000112518	DEF ASSOCIATES LTD	C/O MORGAN STANLEY	PRIVATE WEALTH MANAGEMENT	FAO 04- HODKY DEF ASSOCIATES LTD NIMK	522 FIFTH AVE	11TH FLOOR	ATTN: JULIANA FAJARDO	NEW YORK NY	10036	USA	78125	0
C0000112691	EDITH DRAZIN	908 MONMOUTH AVE	DURHAM NC						27701-1708	USA	1	0
C0000011924	INAVET DUMANLI	149 LINDSEY COURT	FRANKLIN PARK NJ						08823-1534	USA	2	0
C0000002224	JAMES K DUNTUN	680 BURLEIGH DR	PASADENA CA						91105-2240	USA	3	0
C0000112704	DIANE J ELTHERINGTON TR UA 02/09/2015 DIANE ELTHERINGTON SURVIVOR'S TRUST	540 SAND HILL CIRCLE	MENLO PARK CA						94025	USA	244	0
C0000012289	FIRST EAGLE VALUE IN BIOTECHNOLOGY MASTER FUND LTD	MORGAN STANLEY CUSODY/TRANSFER	F/A/O 038CDBZC8 FE VALUE IN BIOTECH	MASTER	ATTN: KYLE DE VOE	1300 THAMES STREET 6TH FLOOR	BALTIMORE MD		21231	USA	520833	0

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C0000112542	FIRST EAGLE VALUE IN BIOTECHNOLOGY MASTER FUND LTD	FIRST EAGLE INVESTMENT MGMT LTD	TIMOTHY CONNOLLY	1345 AVENUE OF THE AMERICAS	48TH FLOOR	NEW YORK NY			10105	USA	504032	0
C0000008028	JORGE U FLORES	9090 MOODY ST	APT 216	CYPRESS CA					90630- 2954	USA	9	0
C0000011886	CHARLES P FRANCIS	210 STEELES AVE. W 1180 LOCHINVAR AVE	PH 11	BRAMPTON ON					2K3 94087- 5124	CAN	2	0
C0000003778	MELISSA FRASER	APT 71	SUNNYVALE CA						94111- 3336	USA	26	0
C0000009628	STUART M GORDON	275 BATTERY ST	20TH FL	SAN FRANCISCO CA					94546- 6519	USA	1	0
C0000007935	ALBERT MAX GRAHAM & EVA A GRAHAM JT TEN	22067 QUEEN ST	CASTRO VALLEY CA						07307- 2312	USA	7	0
C0000011835	JERRAMIAH HEALY & MAUREEN HEALY JT TEN	109 FERRY ST	JERSEY CITY NJ						94545 98226- 7778	USA	56237	0
C0000011631	HOLDING ACCOUNT ARADIGM CORPORATION	ATTN: NANCY PECOTA	3929 POINT EDEN WAY	HAYWARD CA					31	USA	0	0
C0000008249	MORGAN S HUGHES	834 BLACKSTONE CT	BELLINGHAM WA						94580	USA	1	0
C0000000337	IRA B ROCKOWITZ & SANDRA E ROCKOWITZ TR ROCKOWITZ FAMILY TRUST UA 11/18/97	16175 CHANNEL ST	SAN LORENZO CA						48103- 2207	USA	2	0
C0000009725	MOHAMMED N ISLAM	1718 NEWPORT CREEK DR	ANN ARBOR MI									

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C0000008222	ALBERT L JOHANSSON & BARBARA M JOHANSSON JT TEN	7669 MARTIN CANYON RD	DUBLIN CA						94568-2267	USA	1	0
C0000008729	MARIANNE KEMP	1601 3RD AVE APT 201	WALNUT CREEK CA						94597	USA	2	0
C0000008052	MARION LISA KIMBALL	21 VIENNA ST	SAN FRANCISCO CA						94112-1624	USA	5	0
C0000000434	C RICHARD KRAMLICH	PO BOX 29530	SAN FRANCISCO CA						94129	USA	11	0
C0000009849	HSING-CHUNG LEE	23246 PARK EISENADA	CALABASAS CA						91302-1711	USA	1	0
C0000009881	MICHAEL D LESH	301 MONTE VISTA AVE	MILL VALLEY CA						94941-5082	USA	3	0
C00000012424	MAG & CO FBO FIDELITY SELECT PORTFOLIOS: BIOTECHNOLOGY PORTFOLIO	C/O BROWN BROTHERS HARRIMAN & CO	ATTN CORPORATE ACTIONS/VAULT	140 BROADWAY PLEASANTON CA	NEW YORK NY				10005	USA	148009	0
C0000008745	MARIANGELA MANATT	C/O ANN COLLINS	5222 CAPARELLI COURT						94588	USA	1	0
C0000008770	MARIANGELA MANATT	16061 VISTA DE GOLF	RCHO SANTA FE CA						92091-4342	USA	1	0
C0000004863	DAVID F MARQUARDT TR MARQUARDT TR TRUST UA 03/04/92	2480 SAND HILL RD	SUITE 101	MENLO PARK CA					94025-6940	USA	413	0
C0000008737	CARLO MASSUCCI	27732 VIA GRANADOS	MISSION VIEJO CA						92692-2030	USA	1	0
C0000002623	GEORGE A MILLER	301 11TH ST APT 5	SAN FRANCISCO CA						94103-4359	USA	1	0
C0000008338	NORMA L MILLIGIN	C/O ARIDIGM	3929 POINT EDEN WAY	HAYWARD CA					94545-3720	USA	189	0
C0000009962	FRANCIS A MOODY	2901 BOSTON ST	UNIT 107	BALTIMORE MD					21224-4887	USA	3	0

Holder Identifier	Full Name	Address Line 1	Address Line 2	Address Line 3	Address Line 4	Address Line 5	Address Line 6	Address Line 7	Post Code	Domicile	CO2 Class Total (Otf)	Cr1 Class Total
C0000011908	MERRIDITH M NYDAM	PO BOX 283171	HONOLULU HI						96828-3171	USA	5	0
C0000000892	THOMAS M O'BRIEN	3800 N FAIRFAX DR	APT 612	ARLINGTON VA					22203-1704	USA	2	0
C0000010413	JERRY OKIKAWA MARC	7185 MANASOTA KEY RD	ENGLEWOOD FL						34223-77056-3679	USA	37	0
C0000011240	OSTROFSKY	5100 SAN FELIPE ST	UNIT 62E	HOUSTON TX					75033-0313	USA	4	0
C0000112712	ADRIAN PANDEY	3633 LEATHERWOOD DR	FRISCO TX							USA	60	0
C0000009989	GURU PARULKAR TR PARULKAR FAMILY REVOCABLE TRUST UA	1397 CORDILLERAS AVE	SUNNYVALE CA						94087-4405	USA	3	0
	12/29/99		SAN LORENZO CA						94580-2407	USA	1	0
C0000008788	KATHLEEN PITTA	16085 VIA DEL SOL	CA						95336-9120	USA	1	0
C0000007081	SALEHA REGER	1136 CHERRY OAK LANE	MANTECA CA							USA	1	0
C0000005967	STEPHEN J RUSKEWICZ & GERRY M RUSKEWICZ JT TEN	183 ARDMORE RD	KENSINGTON CA						94707-1310	USA	61	0
				PARC DE NEGOCIS CAN SANT JOAN	SANT CUGAT DEL VALLES	BARCELONA						
C0000112534	GRIFOLS SA	AVINGUDA DE LA GENERALITAT	152-158						8174	ESP	0	5244363
C0000010545	SILVERLEAF PARTNERS LLC	19188 MONTE VISTA DR	SARATOGA CA						95070-6218	USA	1	0
C0000003603	SMITHKLINE BEECHAM PLC	SECRETARIAT SERVICES CN8 25 6	980 GREAT WEST ROAD	BRENTFORD MIDDLESEX					TW8 9GS	GBR	3725	0
C0000000116	CARRIE TSUNEMOTO	51 SUMMER HILL CT	DANVILLE CA						94526-5651	USA	3	0
C0000000311	DON VARS	51 COTTONWOOD LN	CANYON TX						79015-2131	USA	2	0

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C0000007269	MARSHALL WOLF	PO BOX 730 STN A	TORONTO ON						M5W 1G2	CAN	31	0
C0000012441	FIONA MARILYN WOODCOCK	67 BANKHALL LN	HALE	ALTRINCHAM	CHESHIRE				WA15 0LN	GBR	4	0
											10035430	5244363
												15279793